

PATENT APPLICATION

Atty. Docket No.: 6647-13

Applicant: Stephen R. Carter, Delos C. Carter and Ronald P. Millett

INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- Class</u>
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<u>Document Number</u>	<u>Publication Date</u>	<u>Country</u>	<u>Name</u>
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<u>Exam Init</u>	<u>Ref</u>	<u>(Including Author, Title, Date, Pertinent Pages, Etc.)</u>
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Noam Chomsky, "The Minimalist Program," 1995, pages 13-127.

David Pesetsky, "Zero Syntax Experiencers and Cascades," 1995, pages 1-122.

Examiner: _____

Date Considered: _____

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FEB 16 2001
Technology Center 2600

PATENT APPLICATION

Atty. Docket No.: 6647-13

Serial No. _____

Applicant: Stephen R. Carter, Delos C. Jensen and Ronald P. Millett

Filing Date: July 13, 2000

Group: _____

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Duplicate		5,317,507	5/1994	Gallant	707	532
		5,325,298	6/1994	Gallant	704	9
		5,694,523	12/1997	Wical	706	45
		5,940,821	8/1999	Wical	707	3
		5,970,490	10/1999	Morgenstern	707	10
		5,867,799	2/02/99	Lang. et al.	707	1

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A Multilevel Approach to Intelligent Information Filtering: Model, System, and Evaluation, J. Mostafa, S. Mukhopadhyay, W. Lam, M. Palakal, ACM Transactions On Information Systems, Vol. 15, No. 4, October 1997, Pages 368-399

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(Including Author, Title, Date, Pertinent Pages, Etc.)

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R. E. Edwards, "Functional Analysis - Theory and Applications," 1965, pages 10-13,
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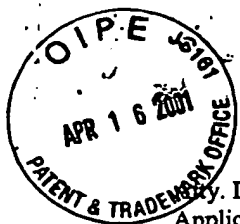
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		5,317,507	5/1994	Gallant	707	532
		5,325,298	6/1994	Gallant	704	9
		5,694,523	12/1997	Wical	706	45
		5,940,821	8/1999	Wical	707	3
		5,970,490	10/1999	Morgenstern	707	10
		5,867,799	2/02/99	Lang, et al.	707	1

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		Latent Semantic-Indexing is an Optimal Special Case of Multidimensional Scaling, Brian T. Bartell, Garrison W. Cottrell, Richard K. Belew, Pages 161-167, 1992; ACM 0-89791-524-0
		Improving Relevance Feedback in the Vector Space Model, Carol Lundquist, David A. Grossman, Ophir Frieder, 1997, Pages 16-23; ACM 0-89791-970-X
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_____	_____	George W. Smith, "Computers and Human Language," 1991, pages 204-257.
_____	_____	Vivian Cook and Mark Newson, "Chomsky's Universal Grammar - An Introduction," 1988, pages 40-74, 131-272.
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Examiner: _____

Date Considered: _____



PATENT APPLICATION
Docket No. 6647-013
IDR-432

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. CARTER; Delos C. JENSEN; Ronald P. MILLETT

Serial No.: 09/615,726

Examiner: Lamont M. SPOONER

Filed: 7/13/2000

Group Art Unit: 2654

Title: **A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE,
AND COMPARISON OF SEMANTIC ABSTRACTS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☒ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)

☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

(Filed after final action or notice of allowance, whichever occurs first, before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

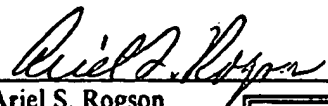
Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

Respectfully submitted,
MARGER JOHNSON & McCOLLOM, P.C.

11/18/2004 FRETEN11 00000036 09615726

31 FC:1806

163.00 OP


Ariel S. Rogson
Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613
Customer No. 45842

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313
Date: November 15, 2004


Jeanne Bower

PATENT APPLICATION

Inventor(s): Stephen R. CARTER; Delos C. JENSEN; Ronald P. MILLETT

Serial No.: 09/615,726

Examiner: Lamont M. SPOONER

Filed: 7/13/2000

Group Art Unit: 2654

Title: A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE, AND COMPARISON OF SEMANTIC ABSTRACTS

INFORMATION DISCLOSURE STATEMENT

PTO-1449

U.S. PATENT DOCUMENTS

<u>Exam Init</u>	<u>Ref</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
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1		5,317,507	5/31/94	Gallant	364	419.13
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		5,675,819	10/07/97	Schuetze	704	10
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		5,721,897	2/24/98	Rubinstein	707	2
		5,778,362	7/07/98	Deerwester	707	5
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		6,108,619	8/22/00	Carter et al.	704	9
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		6,205,456	3/20/01	Nakao	707	531
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LS		6,459,809	10/01/02	Jensen et al.	382	203
		6,470,307	10/22/02	Turney	704	9
		6,523,026	2/18/03	Gillis	707	3
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LS		6,675,159	1/06/04	Lin et al.	707	3

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		Pesetsky, "Zero Syntax: experiencers and cascades," 1995, pgs. 1-122.
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		Singh, "Unifying Heterogeneous Information Models," <i>Tesserae Information Systems, Inc.</i> , http://citeseer.ist.psu.edu.com , pgs. 1-13
		Smith, "Computers and human language," 1991, pgs. 205-257.
		"WordNet - a lexical database for the English language," <i>Cognitive Science Laboratory, Princeton University</i> , www.cogsci.princeton.edu , 2 pgs.

Examiner: L. M. Sporer

Date Considered: 4/26/05